Week 3: Variable Characters, Constant Contents

The behavior of deictics in speech reports – and other environments – can be accounted for in a **two-dimensional semantics**, where semantic values depend on two variables, or parameters, the situation of **utterance** and the situation of **evaluation**.

The latter can be **shifted** in certain environments while the former cannot; and since the values of deictics only vary with the former, they are unshiftable too.

When I utter something, I emit a meaning. This meaning immediately meets the **situation of utterance**, where it may become partially saturated, – certain parts of the utterance get their semantic values fixed. The deictic parts. Then the meaning meets the **situation of evaluation**, which saturates the rest.

For a simple sentence, without any situation-shifting functors, the situation of utterance does double duty – it coincides with the situation of evaluation.

The meaning is thus a "two-step" function: a function from situations to functions from situations to denotations, or **extensions**. It is also called the **character**.

The "intermediate" meaning, the function from situations to extensions, is the expression's **intension**. The complete picture is thus:

 meaning (character) ⇒ intension (content) ⇒ extension (denotation)

situation of utterance situation of evaluation

Deictics are expressions that depend solely on the situation of utterance.

Note on terminology: situations of utterance or evaluation are often referred to as **contexts**.

But what are contexts, or situations (of utterance/evaluation) really? Most will say: at a minimum, something for which three functions are defined, that of the **world**, that of the **time** and that of the **agent** – in utterance situations: the **speaker**; semantically equivalently, triples consisting of world, time and agent. These are the **parameters**.

Let us treat them as something for which those three functions + two more are defined: the 'other' – or the **hearer** – and the 'center' – or the **place**.



This theory is largely due to David **Kaplan** (<u>1977/89</u>) "Demonstratives: an essay on ...", in J. Almog, J. Perry and H. Wettstein (eds.), *Themes from Kaplan*, Oxford: Oxford University Press, 481–563, and (<u>1979</u>) "On the logic of demonstratives", *Journal of Philosophical Logic* **8**, 81–98.



The meaning of an expression α is commonly written as [α].

Let us use i, j etc. as variables for situations.

- [α](i) (also written as [α]ⁱ or [α]_i) is the intension of α at i, and
- [α]] (i)(j) (also written as [α]]ⁱ(j), [α]]_i(j) or [α]]_{i,j}) is the extension of α at i and j.

The meaning of the first person singular pronoun can be defined thus:

[[/]] = λi λj the speaker of i, 1(i)

And the meaning of the temporal adverb now could be defined thus:

• [now] = $\lambda i \lambda j$ the (salient time surrounding the) time of i, t(i)

Note the vacuous abstraction over j here: the intension is a constant function.

The **meaning** is not a constant function though – the intension depends on i. This is the definition of deictics in the theory: an item α is deictic iff

for all i and j, j*, [[α]]ⁱ(j) = [[α]]ⁱ(j*) but for some i, i*, [[α]]ⁱ ≠ [[α]]^{i*}

By comparison, proper names are customarily taken to depend on neither situation,

i or j, for their extensions:

for all i, i* and j, j*, [[α]]ⁱ(j) = [[α]]^{i*}(j*)

But most lexical items are mirror images of deictics: the semantic value does not vary with the situation of utterance but does vary with the situation of evaluation:

• for all i, i*, $\llbracket \alpha \rrbracket^i = \llbracket \alpha \rrbracket^{i*}$ but for (all i and) some j, j*, $\llbracket \alpha \rrbracket^i(j) \neq \llbracket \alpha \rrbracket^i(j^*)$

The benefit: j can shift but i stays the same

The difference between i and j is not so much in their essence as in their function: the situation of utterance is unaffected by any situation-shifting operations.

One might think, for example, that *here* means the same as *where I am now*, but the contrast between (5) and (6) shows that this is not so:

- (5) My husband fears that you are where I am now.
- (6) My husband fears that you are here.

A fine survey is given by Ede **Zimmermann** (2012) "Context dependence", in C. Maienborn, K. von Heusinger and P. Portner (eds.), *Semantics: an International Handbook of Natural Language Meaning, Volume* 3, Berlin: de Gruyter Mouton, 2360–2407.

See also the compact survey given by Philippe **Schlenker** (2010): "Indexicals", forthcoming in S. Hansson and V. Hendricks (eds.), *Handbook* of Formal Philosophy.

Or also the "Lecture Notes on Indexicality" by Irene **Heim** (2004).



Recall *All my loving* ((4)): Is there a difference between (10) and (11)? If so, why?

(10) I'll pretend that I'mkissing The lips I am missing

(11) I'll pretend that I'm kissing The lips I am missing today

What my husband fears according to (5) is the set of j* such that the hearer of i is in j* at the location where the speaker of i is in j* at the time of i ("wherever that may be"), while what my husband fears according to (6) is the set of situations j* such that the hearer of i is in j* at **the place of i**. *Here* depends on i only, which cannot be shifted, whereas *where I am now* depends on j too, which is here shifted to j*.

We sometimes playfully violate this unshiftability: *tomorrow today will be yesterday*; *today was tomorrow yesterday...*

Now consider the speech report (7).

(7) She told me she loves you.

The semantic value of *tell* takes three arguments: a proposition and two individuals;

here the proposition is, for any i, the intension of the clause *she loves you* at i (assuming that *she* refers to Sue):

(8) [[she loves you]]ⁱ = λj^* Sue loves the hearer of i in j^* =

(6) the set of situations j^* where Sue loves the hearer of i

Here j* acts as the situation of evaluation; j is shifted to j*.

Now for her to have communicated this content to me, she could not have said (9):

(9) I love you.

For this *you* would have referred not to the hearer of i but to the hearer of another situation of utterance, call it k; she would in fact have expressed the proposition that she loves the **speaker** of i.

Using an analysis of verbs like tell based on Kaplan (1977/89: 554), we have:

[[(7)]]ⁱ(j) is true iff Sue told me a sentence meaning C such that C^k = (8), where k comes from i by substituting her for the speaker, me for the hearer, and ...

Then it becomes clear that (9) is right as far as *I* is concerned, but wrong regarding *you*.

If we had only one situation variable, we would not be able to explain this – or generally to explain how one sentence can express different propositions on different occasions, or conversely, how one proposition can be expressed by two non-equivalent sentences, if only they are uttered on different occasions of the right kind.

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